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SERIAL NO. 09/996,131

DOCKET NO. AUS920010638US1

DATE: February 28, 2006

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Joseph T. Van Leeuwen

2/28/2006
Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Sweitzer, et al.

For: System and Method for Developing Topography Based Management Systems

Enclosed are:

- ☒ Information Disclosure Statement;
- ☒ Modified form 1449 listing 6 references;
- ☒ copies of any non-U.S. Patent references listed on form 1449 (4 articles).

37 CFR § 1.97 is satisfied by the following:

- ☒ This IDS is being filed pursuant to CFR § 1.97(c) and:
 - ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. **A duplicate copy of this sheet is enclosed.**
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By
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DATE: February 28, 2006



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	§ Group Art Unit: 2167
Sweitzer, et. al.	§
Serial No.: 09/996,131	§
Filed: November 28, 2001	§
Title: <u>System and Method for</u>	§ Intellectual Property Law Dept.
<u>Developing Topography Based</u>	§ 11400 Burnet Road
<u>Management Systems</u>	§ Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents
Washington, D.C. 20231

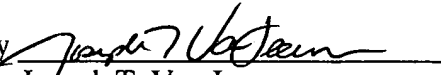
Sir:

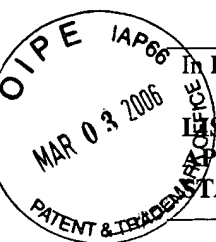
Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

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In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Serial No. 09/996,131

Applicant: Sweitzer, et. al.

Filing Date: 11/28/2001

Group: 2167

Atty. Docket No. AUS920010638US1

U. S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Classification Class / Subclass	Filing Date if Appropriate
_____	AA				
_____	AB				
_____	AC				
_____	AD				
_____	AE				
_____	AF				
_____	AG				

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Classification	Translation Yes No
_____	AH WO 02/039216	05-2002	PCT	Sandus et al.	Yes
_____	AI WO 03/089954	10-2003	PCT	Gaal, Peter	Yes

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	
_____	AJ S. Gokhun Tanyer et al., "Topography based design of the T-DAB SFN for a mountainous area," IEEE transactions on broadcasting, vol. 43, no. 3, Sept. 1997, p. 309-319
_____	AK Wolf-Fritz Riekert, "Cooperative management of data and services for environmental applications," 1995, p. 1-8.
_____	AL R. Agarwal et al., "Estimating software projects," ACM SIGSOFT, vol. 26, issue 4, Jul. 2001, p.60-67.
_____	AM Randall Jensen, "Software estimating model calibration," Software Engineering Inc. Best Practices, July 2001, p. 13-16.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.